### UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James M. Tour et al.

Group Art Unit:

1775

Serial No.:

10/632,948

Filed:

August 1, 2003

Title: PROCESS FOR ATTACHING MOLECULAR WIRES AND DEVICES TO CARBON NANOTUBES

AND COMPOSITIONS THEREOF

## **CERTIFICATE OF MAILING**

I hereby certify that this Information Disclosure Statement along with attached SB/08A-B (Form 1449) and 27 references, are being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450, on February 26, 2004.

GRACIE SOLIS

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which it is aware, which it believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

ATTORNEY DOCKET NO. 11321-P022WUD2

PATENT APPLICATION NO. 10/632,948

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Also in accordance with 37 C.F.R. § 1.98(a)(2)(i), no copies of U.S. patents and pending applications identified on the attached Form PTO-1449 are required for all U.S. patent applications filed after June 30, 2003. Therefore, only copies of foreign patent documents and non-patent literature referenced on the attached Form PTO-1449 are submitted herewith.

Applicant believes that no fee is due at this time. However, the Commissioner is hereby authorized to credit any overpayment or charge for inadvertently omitted fees to Deposit Account No. 23-2426 (11321-P022WUD2).

Respectfully submitted,

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AUSTIN\_1\242898\1 11321-P022WUD2 02/26/2004 In Place of FORM PTO-1449 (Modified)

Serial Number: Applicants:

10/632,948

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE ATEMENT

Filing Date:

James M. Tour et al. August 1, 2003

Group:

Atty. Docket Number:

11321-P022WUD2

Reference Designation

## **U.S. PATENT DOCUMENTS**

N.	Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AAA	5,547,748	08/20/1996	Ruoff et al.	428	323	
	ABA						
	ACA						

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						
AEA						
AFA						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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AGA	AIHARA, "Lack of Superaromaticity in Carbon Nanotubes," Journal of Physics Chem., Volume 98, pp. 9773-9776 (1994).
AHA	ALLONGUE et al., "Covalent Modification of Carbon Surfaces by Aryl Radicals Generated from the Electrochemical Reduction of Diazonium Salts," J. Am. Chem. Soc., Volume 119, pp. 201-207 (1997).
AIA	CHEN et al., "Solution Properties of Single-Walled Carbon Nanotubes," <i>Science</i> , Volume 282, pp. 95-98 (October 2, 1998).
AJA	CHEN et al., "Room-temperature negative differential resistance in nanoscale molecular junctions," <i>Applied Physics Letters</i> , Volume 77, Number 8, pp. 1224-1226 (August 21, 2000).
AKA	CHEN et al., "Chemical attachment of organic functional groups to single-walled carbon nanotube material," <i>Journal of Materials Research</i> , Volume 13, Number 9, pp. 2423-2431 (September 1998).
ALA	CUI et al., "Functional Nanoscale Electronic Devices Assembled Using Silicon Nanowire Building Blocks," <i>Science</i> , Volume 291, pp. 851-853 (February 2, 2001).
AMA	DELAMAR et al., "Modification of Carbon Fiber Surfaces by Electrochemical Reduction of Aryl Diazonium Salts: Application to Carbon Epoxy Composites," <i>Carbon</i> , Volume 35, Number 6, pp. 801-807 (1997).
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AOA	EBBESEN et al., "Carbon Nanotubes," Annual Review of Materials Science, Volume 24, pp. 235-264 (1994).
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ARA	HUANG et al., "Directed Assembly of One-Dimensional Nanostructures into Funtional Networks," <i>Science</i> , Volume 291, pp. 630-633, (January 26, 2001).
ASA	IIJIMA et al., "Helical microtubules of graphitic carbon," Nature, Volume 354, pp. 56-58 (November 7, 1991).
ATA	JOST et al., "Diameter grouping in bulk samples of single-walled carbon nanotubes from optical absorption spectroscopy," <i>Applied Physics Letters</i> , Volume 75, Number 15, pp. 2217-2219 (October 11, 1999).
AUA	KOSYNKIN et al., "Phenylene Ethynylene Diazonium Salts as Potential Self-Assembling Molecular Devices," <i>Organic Letters</i> , Volume 3, Number 7, pp. 1993-995 (2001).

xaminer:	Date Considered:
BGB	WU et al., "Finite size effects in carbon nanotubes," <i>Applied Physics Letters</i> , Volume 77, Number 16, pp. 2554-2556 (October 16, 2000).
BFB	WONG et al., "Covalently functionalized nanotubes as nanometre-sized probes in chemistry and biology," <i>Nature</i> , Volume 394, pp. 55-58 (1998).
ВЕВ	SABY et al., "Electrochemical Modification of Glassy Carbon Electrode Using Aromatic Diazonium Salts. 1. Blocking Effect of 4-Nitrophenyl and 4-Carboxyphenyl Groups," <i>Langmuir</i> , Volume 13, pp. 6805-6813 (1997).
BDB	RICHTER et al., "Theory of Size-Dependent Resonance Raman Scattering from Carbon Nanotubes," <i>Physical Review Letters</i> , Volume 79, Number 14, pp. 2738-2740 (October 6, 1997).
ВСВ	RAO et al., "Diameter-Selective Raman Scattering from Vibrational Modes in Carbon Nanotubes," <i>Science</i> , Volume 275 pp. 187-191 (January 10, 1997).
BBB	RAO et al., "Functionalised carbon nanotubes from solutions," Chem. Commun., pp. 1525-1526 (1996).
BAB	ORTIZ et al., "Electrochemical modification of a carbon electrode using aromatic diazomium salts. 2. Electrochemistry of 4-nitrophenyl modified glassy carbon electrodes in aqueous media," <i>Journal Electroanalytical Chemistry</i> , Volume 455, pp 75-81 (1998).
AZA	OBUSHAK et al., "Arennediazonium Tetrachlorocuprates (II). Modification of the Meerwein and Sandmeyer Reactions," <i>Tetrahedron Letters</i> , Volume 39, pp. 9567-9570 (1998).
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AXA	LIU et al., "Fullerene Pipes," Science, Volume 280, pp. 1253-1256 (May 22, 1998).
AWA	LIANG et al., "Electronic Structures and Optical Properties of Open and Capped Carbon Nanotubes," <i>J. Am. Chem. Soc.</i> Volume 122, pp. 11129-11137 (2000).
AVA	LI et al., "Temperature dependence of the Raman spectra of single-wall carbon nanotubes," <i>Applied Physics Letters</i> , Volume 76, Number 15, pp. 2053-2055 (April 10, 2000).

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN\_1\242916\1 11321-P022WUD2 02/25/2004